

財務金融學系

此課程全程中文授課，機會難得!如預備考 CFA 證照之請同學盡速報名
秋季選修課程

選修推薦課程

企業倫理

Business Ethics

開設時段:

10/1-11-30 密集授課

上課時段:

禮拜一 **9:10-12:00**

禮拜三 **14:10-17:00**

財務金融學系

選修課程名稱:

企業倫理

科目代碼:

FIN_51000

課程教室:

共 A105

選修學分:

3 學分

**此門課大學、碩士
班各現修 30 人
名額有限
請盡速選修報名**

洽詢電話:

財務金融學系
03-863-3132



溫教授

英幹現為臺灣國立東華大學榮譽教授。2010 年春季應聘為臺灣元智大學財金系特聘教授。曾擔任東華大學大陸研究所所長、經濟系主任暨國際經濟研究所所長，經濟系教授及臺灣中央銀行第十七屆理事會理事（2005 年 1 月至 2010 年 1 月）。之前自聯合國所屬世界銀行資深經濟專家職位退休。

課程綱要:

- 此課程專為預備考金融分析師證照(CFA)之學生所預備
- 教導學生針對要成為專業投資人士最開始的企業倫理觀念
- 本門課程也會教授一位領有證照的經理人應該具備的基礎的SOP及GIPS
- 詳細請參閱溫教授課程綱要

財務金融學系
秋季選修課程

10/1-11/30
密集授課

國立東華大學財務金融學系課程綱要

中文科目名稱	企業倫理				
英文科目名稱	Business Ethics				
科目代碼				班別	碩士班
修別		學分數	3	時數	3
<p>一、課程目標</p> <p>The course covers basic elements on business ethics, with special reference to ethics and practice for investment professionals. The students are expected to be familiar with ethics code and standard practice for investment professionals.</p>					
<p>二、資源需求評估：(師資專長之聘任、儀器設備的配合...等)</p> <p>LCD Projector, CFA Materials (books and CDs), Computer Lab</p>					
<p>三、先修課程： None</p>					
<p>四、課程綱要：(說明內容主要範疇)</p> <p>The major focus of this course will be for CFA exam candidates, especially for Level I and Level II candidates. It will begin with basic understanding of ethics issues facing business management. Attention will then be turned to ethics for investment professionals. More specifically, emphasis will be given to Code of Ethics and Standards of Practice (SOP) and Global Investment Performance Standards (GIPS) for Chartered Financial Analysts, and other required reading in the curriculum of Level I and Level II. At the end of this course, students will be able to understand and solve ethic questions and cases facing investment professionals, and GIPS practice.</p> <p>Required Texts: Standards of Practice Handbook, 9th Edition, 2005, CFA Institute. Global Investment Performance Standards Handbook, Second Edition, 2006, CFA Institute. [GIPS] CFA Exam Study Guide (2010) . [http://www.cfainstitute.org/cfaprogram/resources/studysessions.htm]: Level I Study Guide Session 1 Reading Level II Study Guide Session 1 and Session 2 Reading</p>					
<p>五、教學要求進行方式之建議：</p> <p>Set up a CFA Lab, with several computers and relevant materials (books and CDs on past exam questions and answers). Students are expected to participate in answering questions and doing exercises through CFA exam software.</p>					
<p>六、其他：</p>					

撰寫老師：溫英幹

溫英幹

系所主管：_____